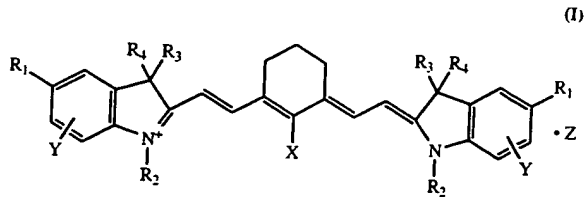


AMENDMENTS TO THE CLAIMS

1. (Pending) A polymethine compound of the following general formula.



wherein  $R_1$  represents an alkoxy group which may be substituted;  $R_2$  represents an alkyl group which may be substituted;  $R_3$  and  $R_4$  each represents a lower alkyl group or  $R_3$  and  $R_4$  may combinedly form a cyclic structure;  $X$  represents a hydrogen atom, a halogen atom or a substituted amino group;  $Y$  represents an alkoxy group which may be substituted or an alkyl group which may be substituted;  $Z$  represents a charge neutralizing ion.

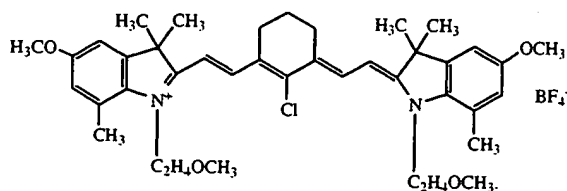
2. (Pending) A polymethine compound as claimed in claim 1 wherein  $R_1$  is an alkoxy group containing 1-4 carbon atoms,  $R_2$  is an alkyl group containing 1-8 carbon atoms, an alkoxyalkyl group containing a total of 1-8 carbon atoms, a sulfoalkyl group containing 1-8 carbon atoms or a carboxyalkyl group containing a total of 2-9 carbon atoms, and  $Y$  is an alkoxy group containing 1-4 carbon atoms or an alkyl group containing 1-4 carbon atoms.

3. (Pending) A polymethine compound as claimed in claim 1 wherein Z is  $\text{Cl}^-$ ,  $\text{Br}^-$ ,  $\text{I}^-$ ,  $\text{ClO}_4^-$ ,  $\text{BF}_4^-$ ,  $\text{CF}_3\text{CO}_2^-$ ,  $\text{PF}_6^-$ ,  $\text{SbF}_6^-$ ,  $\text{CH}_3\text{SO}_3^-$ , p-toluenesulfonate,  $\text{Na}^+$ ,  $\text{K}^+$  or triethylammonium ion.

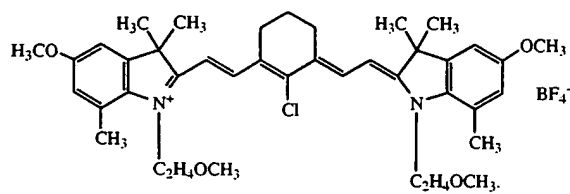
4. (Pending) A polymethine compound as claimed in claim 1 wherein  $\text{R}_3$  and  $\text{R}_4$  each is methyl or  $\text{R}_3$  and  $\text{R}_4$  taken together is a cyclopentane ring or a cyclohexane ring.

5. (Pending) A polymethine compound as claimed in claim 1 wherein X is H, Cl, Br or diphenylamino.

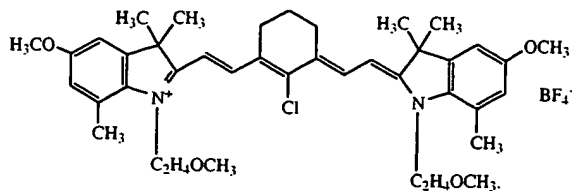
6. (Pending) A polymethine compound as claimed in claim 1 which is a low-melting crystal modification of 2-(2-{2-chloro-3-[(1,3-dihydro-3,3,7-trimethyl-5-methoxy-1-methoxyethyl-2H-indol-2-ylidene)ethylidene]-1-cyclohexen-1-yl}ethenyl)-3,3,7-trimethyl-5-methoxy-1-methoxyethyl-indolium=tetrafluoroborate having the following formula and showing a powder X-ray diffraction pattern with characteristic peaks at the diffraction angles ( $2\theta \pm 0.2^\circ$ ) of  $11.6^\circ$ ,  $14.6^\circ$ ,  $15.6^\circ$ ,  $19.6^\circ$  and  $22.9^\circ$  in Cu-K $\alpha$  powder X-ray diffractometry



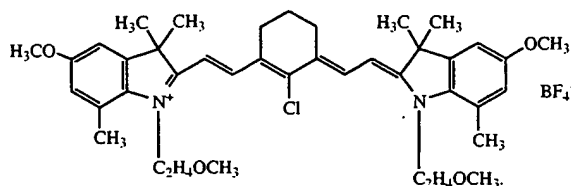
7. (Pending) A polymethine compound as claimed in claim 1 which is a high-melting crystal modification of 2-(2-{2-chloro-3-[(1,3-dihydro-3,3,7-trimethyl-5-methoxy-1-methoxyethyl-2H-indol-2-ylidene)ethylidene]-1-cyclohexen-1-yl}ethenyl)-3,3,7-trimethyl-5-methoxy-1-methoxyethyl-indolium=tetrafluoroborate having the following formula and showing a powder X-ray diffraction pattern with a characteristic high-intensity peak at the diffraction angle ( $2\theta \pm 0.2^\circ$ ) of  $8.4^\circ$  in Cu-K $\alpha$  powder X-ray diffractometry



8. (Pending) A polymethine compound as claimed in claim 1 which is a crystalline methanol adduct of 2-(2-{2-chloro-3-[(1,3-dihydro-3,3,7-trimethyl-5-methoxy-1-methoxyethyl-2H-indol-2-ylidene)ethylidene]-1-cyclohexen-1-yl}ethenyl)-3,3,7-trimethyl-5-methoxy-1-methoxyethyl-indolium=tetrafluoroborate having the following formula and showing a powder X-ray diffraction pattern with characteristic peaks at the diffraction angles ( $2\theta \pm 0.2^\circ$ ) of  $13.3^\circ$ ,  $17.4^\circ$ ,  $19.8^\circ$ ,  $21.8^\circ$  and  $26.9^\circ$  in Cu-K $\alpha$  powder X-ray diffractometry



9. (Pending) A polymethine compound as claimed in claim 1 which is an amorphous form of 2-(2-{2-chloro-3-[(1,3-dihydro-3,3,7-trimethyl-5-methoxy-1-methoxyethyl-2H-indol-2-ylidene)ethylidene]-1-cyclohexen-1-yl}ethenyl)-3,3,7-trimethyl-5-methoxy-1-methoxyethylindolium=tetrafluoroborate having the following formula and showing a powder X-ray diffraction pattern having no characteristic peak at the diffraction angle  $(2\theta \pm 0.2^\circ)$  in Cu-K $\alpha$  powder X-ray diffractometry



10. Cancelled.

11. (Pending) A process for producing low-melting crystals of the polymethine compound of claim 1 which comprises treating a crystalline solvent adduct or amorphous form of the polymethine compound of claim 1 with a solvent.

12. (Pending) A process for producing high-melting crystals of the polymethine compound of claim 1 which comprises recrystallizing the polymethine compound of claim 1 from a ketonic or alcoholic solvent.

13. (Pending) A near infrared absorbing material comprising the polymethine compound claimed in claim 1.

14. (Pending) An original plate for direct printing plate making which comprises the polymethine compound of claim 1 in a light-to-heat conversion layer constructed on a substrate.

15. (Pending) A method of manufacturing a printing plate which comprises irradiating the original plate for direct printing plate making claimed in claim 14 with light using a semiconductor laser having a light emission band of 750 nm~900 nm as a light source.

Status of Claims and Support for Claim

Changes under 37 C.F.R. § 1.173(c)

Regarding the status of the claims, claims 1-9 and 11-15 of USP 6,342,335 remain pending. These constitute all claims of this patent.

Claim 10 has been canceled.